Docket No.

270412US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Hansgeorg ERNST, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP03/12804

GAU:

FILED:

Herewith

EXAMINER:

FOR:

METHOD FOR PRODUCING CAROTENOIDS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Corwin P. Umbach, Ph.D. Registration No. 40,211

Surinder Sachar

Registration No. 34,423

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

JCCC Rec'd PCT/PTO 22 APR 2005

SHEET 1 OF 1

Form PTO 1449 .(Modified)				ATTY DOCKET NO. 270412US0PCT		New U.S. PCT Application Based on PCT/EP03/12804		
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT				
				Hansgeorg ERNST, et al.				
				FILING DATE		GROUP		
				Herewith				
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA							
	AB				ļ			
	AC	·			ļ			
	AD		ļ					
	AE				<u> </u>			
	AF							
	AG	*						
	AH		<u> </u>			ł		
	Al		<u> </u>		<u> </u>	+		
	AJ AK				:			
	AL							
	AM		ļ		 	 		
	AN				 	-		
		<u> </u>	FO	REIGN PATENT DOCUMENTS	1	<u> </u>		
		DOCUMENT DATE		COUNTRY		TRANSLATION		
	AO	0 908 449	04/14/99	EP(Equivalent of US 6,150,561)		YES	NO NO	
	AP	0 000 440	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Li (Equivalent di de e, res, es i)				
	AQ	.						
	AR							
	AS							
	AT							
	AU							
	AV							
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)								
	AW							
	AX					-		
	AY							
	AZ				Add	itional Refe	rences sheet(s) attached	
Examiner					Date Co	Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								